



TITLE:

Facile synthesis of acyl chitosan isothiocyanates and their application to porphyrin-appended chitosan derivative.

AUTHOR(S):

Shibano, Masaya; Nishida, Shouko; Saito, Yasuko; Kamitakahara, Hiroshi; Takano, Toshiyuki

CITATION:

Shibano, Masaya ...[et al]. Facile synthesis of acyl chitosan isothiocyanates and their application to porphyrin-appended chitosan derivative.. Carbohydrate polymers 2014, 113: 279-285

ISSUE DATE:

2014-11-26

URL:

<http://hdl.handle.net/2433/191083>

RIGHT:

© 2014 Elsevier Ltd.; この論文は出版社版ではありません。引用の際には出版社版をご確認ご利用ください。; This is not the published version. Please cite only the published version.

Type of paper

Original full-length research paper

Title

Facile synthesis of acyl chitosan isothiocyanates and their application to porphyrin-appended chitosan derivative

Author names and affiliations

Masaya Shibano,¹ Shouko Nishida,¹ Yasuko Saito,¹ Hiroshi Kamitakahara,¹ and Toshiyuki Takano^{1*}

¹Division of Forest and Biomaterials Science, Graduate School of Agriculture, Kyoto University, Kyoto, Japan

***Corresponding author**

Toshiyuki Takano

Division of Forest and Biomaterials Science, Graduate School of Agriculture, Kyoto University, Sakyo-ku, Kyoto 606-8502, Japan

Tel: +81-75-753-6254, Fax: +81-75-753-6300.

E-mail: takatmys@kais.kyoto-u.ac.jp

Abstract

Chitosan (**1**) was reacted with phenylisothiocyanate in 5% AcOH/ H₂O to give *N*-phenylthiocarbamoyl chitosan (**2**) with a degree of substitution (DS) of *N*-phenylthiocarbamoyl groups of 0.86 in 87.1% yield. The following acylation of compound **2** with hexanoyl chloride in the presence of pyridine afforded 3,6-di-*O*-2,3-hexanoyl chitosan isothiocyanate (**4a**) with a DS of the isothiocyanate groups of 0.70 in high yield, unexpectedly. Compound **4a** exhibited high levels of reactivity towards various amines to give the corresponding *N*-thiocarbamoyl chitosan derivatives in high yields. Other acyl (decanoyl (**4b**), myristoyl (**4c**), stearoyl (**4d**), benzoyl (**4e**)) chitosan isothiocyanates were also prepared from chitosan (**1**) in high yields. To evaluate the potential applications of acyl chitosan isothiocyanates, *N*-(triphenylporphynyl)thiocarbamoyl chitosan derivative **6** with a DS of the triphenylporphynyl groups of 0.46 was prepared from compound **4b**. The Langmuir–Blodgett monolayer film of compound **6** gave a good photon-to-electron conversion performance.

Keywords

Acylation, Chitosan, Isothiocyanate, *N*-Phenylthiocarbamoylation, Photocurrent,
Porphyrin

1. Introduction

Chitosan is a linear cationic heteropolymer of *N*-acetylglucosamine (GlcNAc) and glucosamine (GlcN) residues thorough β -1,4 linkages by the deacetylation of chitin which is the second most abundant natural biopolymer in nature, and a most versatile polysaccharide that lends itself to countless chemical and biochemical modifications (Muzzarelli, Tosi, Francescangeli & Muzzarelli 2003; Ravi Kumar et al. 2004; Muzzarelli R.A.A. & Muzarelli, C. 2005; Kurita 2006; Rinaudo 2006; Harish Prashanth & Tharanathan, 2007; Mourya & Inamdar, 2008; Sahoo D., Sahoo S., Morhanty, Sasmal & Nayak, 2009). However, considerable levels of attention have still been focused on the development of the high-value-added utilization for chitosan and its derivatives.

The *N*-substituted thiocarbamoyl chitosan derivatives which was prepared by *N*-thiocarbamoylation of chitosan with isothiocynate compounds are one of the important functional chitosan derivatives. For example, *N*-acetyl- (Ferkry & Mohamed 2010), *N*-acyl- (Zhong et al. 2008,), *N*-fluoresceinyl- (Qaqish & Amiji, 1999, Ma et al. 2008)), *N*-phenyl- (Baba, Noma, Nakayama & Matsushita, 2002, Monier & Abdel-Latif 2012) thiocarbamoyl chitosan derivatives has been reported as a corrosion inhibitor, an antimicrobial material, a macromolecular

19 fluorophore, a metal adsorbent, respectively. However, the availability of the
20 commercial isothiocyanate compounds are limited. If chitosan isothiocyanate
21 derivatives are easily synthesized, various amines are available for the
22 syntheses of versatile *N*-substituted thiocarbamoyl chitosan derivatives for new
23 applications. Glucosamine isothiocyanate derivatives can be prepared by the
24 reaction of glucosamine with thiophosgene (Jochims & Seegler, 1965;
25 Fernández-Bolaños, Zafra, López, Robina & Fuentes, 1999), but similar
26 chitosan isothiocyanate derivatives have not been reported in the literature,
27 even though chitosan has an amino group at its C-2 position that could be
28 converted to an isothiocyanate group. The isothiocyanation of amines can be
29 achieved by the reaction of an amine with thiophosgene or carbon disulfide
30 (Mukerjee & Ashare, 1991; Fernández & Millet 1999, Munch, Hansen, Pittelkow,
31 Christensen & Boas, 2008; Sun et al., 2012), although it is important to mention
32 that both of these reagents are highly toxic. With this in mind, the development
33 of a facile and safe synthetic method for the formation of chitosan
34 isothiocyanate derivatives is strongly desired.

35 We recently reported a facile and safe synthetic method for acyl chitosan
36 isothiocyanates by two reactions, that is, *N*-phenylthiocarbamoylation with

phenylisothiocyanate and acylation with acyl halide or acyl anhydride (Takano & Shibano, 2013). The resulting acyl chitosan isothiocyanates are soluble in common organic solvents and are expected to be useful synthetic intermediates for new functional chitosan derivatives. But, we did not report this procedure in its full detail.

On the other hand, the synthesis of porphyrin-containing chitosan derivatives represents one of several recent proposals for the high-value-added utilization of chitosan, with other examples including the construction of metallotetraphenylporphyrin appended chitosan derivatives (Huang, Guo & Tang, 2007), the use of an Mn (III) deuteroporphyrin-bearing chitosan as catalyst for oxidation reactions (Sun, Hu, Zhao & Liu, 2012), and tetraphenylporphyrin tethered chitosan derivatives for use as nanocarriers for gene delivery (Geware et al., 2013). The LB monolayer films of 6-O-porphynyl-2,3-di-O-stearoyl cellulose, which is a regioselectively substituted cellulose derivative, have been reported to exhibit high photon-to-electron conversion performances (Sakakibara, Ogawa & Nakatsubo, 2007). The high performance of this material has been attributed to the dense packing of the porphyrin moieties along the cellulose backbone because of the

well-defined and regular structure of the cellulose derivative. The *N*-porphynylthiocarbamoyl chitosan derivatives prepared from the acyl chitosan isothiocyanates could therefore potentially be used as alternative photon-to-electron conversion materials.

This paper provides a detailed account of our new method for the synthesis of acyl chitosan isothiocyanates (Scheme 1). Furthermore, we have described the reactivity of these materials with various amines, and the preparation and evaluation of an LB monolayer film of porphyrin-appended chitosan derivative as one of the examples of the application of the isothiocyanates for the preparation of functional chitosan derivatives.

2. Experimental

2.1. General

Chitosan (DAICHITOSAN 100D (VL), degree of deacetylation 98%) was kindly supplied by Dainichiseika Color & Chemicals Manufacturing Co. (Tokyo, Japan). All of the other chemicals used in the study were purchased from commercial sources and used without further purification. Fourier-transform-infrared (FT-IR) spectra were recorded on a Shimadzu IR Prestige-21 spectrophotometer

(Shimadzu, Kyoto, Japan) as KBr pellets (sample 1 mg/ KBr 200 mg). ^1H and ^{13}C NMR were recorded on a Varian 500 MHz FT-NMR spectrophotometer (Aglient Technologies, Santa Clara, CA, USA) using tetramethylsilane (TMS) as an internal reference standard in $\text{DMSO}-d_6$ or CDCl_3 . The standard number of scans in the ^1H and ^{13}C NMR measurements were 3500 and 22000, respectively. The chemical shifts (δ) of the NMR spectra have been reported in parts per million (ppm). UV-vis spectra were recorded on a Jasco V-560 UV-vis spectrophotometer (Jasco, Tokyo, Japan).

2.2. Preparation of acyl chitosan isothiocyanate

2.2.1. N-Phenylthiocarbamoylation

Chitosan (**1**, 1.20 g, 7.45 mmol) was dissolved in a 5% (v/v) solution of AcOH in water (30 mL) and the resulting solution was diluted with MeOH (120 mL). Phenyl isothiocyanate (5.34 mL, 44.7 mmol) was then added to the solution, and the resulting mixture was stirred at 35 °C for 24 h, during which time a precipitate formed. The precipitate was filtered, and the filter-cake was washed with MeOH before being collected and suspended in MeOH (300 mL) without drying. The suspension was then stirred at ambient temperature for 30 min and

91 filtered, and the filter-cake was washed with MeOH. This purification procedure
92 was repeated several times until no absorbance could be detected at 280 nm in
93 the filtrate. The solid product was then dried in vacuo to afford
94 *N*-phenylthiocarbamoyl chitosan (**2**, 1.80 g, 87.1% yield).

95 *Compound 2* - DS_{PhNHCS}: 0.86 (determined by elemental analysis); FT-IR (KBr):
96 ν 3298, 2873, 1660, 1541, 1497, 1373, 1234, 1150, 1065, 898, 746, 692 cm⁻¹;
97 ¹H NMR (DMSO-*d*₆): δ 9.43 (NH), 7.80–7.00 (phenyl-H), 4.69 (H-1), 4.00–3.00
98 (H-2, H-3, H-4, H-5, H-6a, H-6b) ppm; ¹³C NMR (DMSO-*d*₆): δ 182.0 (C=S),
99 139.5, 129.1, 124.5 (phenyl-C), 102.5 (C-1), 82.0 (C-4), 75.1 (C-5), 73.2 (C-3),
100 60.6 (C-6), 59.9 (C-2) ppm.

102 2.2.2. Acylation

103 3,6-Di-O-hexanoyl chitosan isothiocyanate (**4a**) (typical method)

104 Compound **2** (300 mg, 1.1 mmol) was suspended in a mixture of CHCl₃ (6 mL)
105 and pyridine (10 mL), and the resulting suspension was stirred at 35 °C for 24 h.
106 A solution of hexanoyl chloride (1.66 ml, 12.1 mmol) in CHCl₃ (4 mL) was then
107 added to the suspension in a drop-wise manner at 0 °C over a period of 10 min.
108 The resulting mixture was then stirred at 1–2 °C for 1 h before being heated at

30 °C for 1 h. The mixture was then heated at 80 °C for 18 h, before being cooled to ambient temperature and poured into MeOH (400 mL). The resulting mixture was stirred at ambient temperature for 2 h and formed a suspension, which was filtered. The filter-cake was then washed with MeOH before being collected and dissolved in a small amount of CHCl₃. The resulting solution was added to MeOH (400 mL) in a drop-wise manner to give a suspension, which was filtered. The filter-cake was then washed with MeOH before being collected and dried in vacuo to afford compound **4a** (409 mg).

Compounds **4b-4e** were also prepared according to the procedure for compound **4a**. The DS, ¹H and ¹³C NMR and FT-IR data of compounds **4a-4e** were summarized in Table 1.

2.3. Reactivity of hexanoyl chitosan isothiocyanate **4a** with amines

3,6-Di-O-hexanoyl-N-phenylthiocarbamoyl chitosan (**5a**) (typical method)

Aniline (0.23 mL, 2.50 mmol) was added to a solution of compound **4a** (200 mg) in THF (4 mL), and the resulting mixture was stirred at 35 °C for 24 h before being poured into distilled water (400 mL). The resulting precipitate was collected by filtration, and the filter-cake was washed with distilled water before

being collected and dissolved in a small amount of THF. The resulting solution was added to distilled water (400 mL) in a drop-wise manner to give a precipitate, which was collected by filtration. The filter-cake was then washed with distilled water before being collected and dried in vacuo at 40 °C to afford compound **5a** (196 mg).

Compound **4a** was also reacted with *n*-propyl amine and piperidine by the same procedure to give compounds **5b** and **5c**. The DS, ¹H and ¹³C NMR and FT-IR data of compounds **5a-5c** were summarized in Table 1.

2.4. Application of decanoyl chitosan isothiocyanate (**4b**) to the formation of functional chitosan derivatives

2.4.1. Preparation of 3,6-di-O-decanoyl-N-(p-(10,15,20-triphenyl-5-porphyrinyl)phenyl thiocarbamoyl chitosan (**6**)

5-(4'-Aminophenyl)-10,15,20-triphenylporphyrin (TPP-NH₂) (29.1 mg), which was prepared according to the method reported by Luguya et al. (2004), was added to a solution of compound **4b** (30 mg) in CH₂Cl₂ (4 mL), and the resulting mixture was stirred at 35 °C for 48 h in the absence of light before being poured

into MeOH (200 mL). The resulting precipitate was collected by centrifugation (3000 ×g, 15 min), and dissolved in a small amount of CH₂Cl₂. The resulting CH₂Cl₂ solution was then added to MeOH (200 mL) in a drop-wise manner to give a precipitate, which was collected by centrifugation (3000 ×g, 15 min). This precipitation/dissolution process was repeated three times. The solid product was then dried in vacuo at 40 °C to afford compound **6** (29 mg).

Compound 6 - DS_{TPPNHCS}: 0.46 (determined by elemental analysis); FT-IR: ν 3415(NH), 2957, 2870, 2047, 1747 (C=O), 1537, 1498, 1377, 1356, 1242, 1167, 1107, 1053, 750, 696 cm⁻¹; ¹H-NMR (CDCl₃): δ 8.80, 8.53, 8.18, 7.97, 7.73, 7.38 (porphyrin-H), 5.40-3.10 (H-1, H-2, H-3, H-4, H-5, H-6a, H-6b), 2.36 (hexanoyl -OCOCH₂-), 1.60 (hexanoyl -OCOCH₂-CH₂-), 1.26 (hexanoyl -CH₂-), 0.88 (hexanoyl -CH₃), -2.80 (NH of porphyrin) ppm.

2.4.2. Preparation and evaluation of LB monolayer films of compound **6**

A solution of compound **6** in CHCl₃ (0.5 mg/mL) was spread onto a water subphase in a Teflon-coated trough (331 × 100 × 5 mm, USI-3-22T, USI-system, Fukuoka, Japan). Ultrapure water was obtained from a Milli-Q water purification system (Simpli Lab, Merck Japan, Tokyo, Japan) and used for the subphase.

The solvent was evaporated for 30 min and the surface pressure (π)–area (A) isotherms were measured at a constant compression rate of 6 mm/min. The surface pressure was measured using a Wilhelmy-type film balance. The surface pressure was held at 10 mN m⁻¹ for 30 min prior to the deposition of the surface monolayer onto the substrates.. The vertical dipping method was used to deposit the surface monolayer onto the substrate with quartz, or an Indium Tin Oxide (ITO) electrode. The downward and upward stroke rates were set at 6 mm/min. The surface pressure was held at 10 mN m⁻¹ throughout the deposition process, and the surface temperature was kept at 20 °C for the preparation of the LB monolayer films [i.e., film 6A (on quartz, transfer ratio: downward: 0.00, upward: 1.03), and film 6B (on an ITO electrode, transfer ratio: downward: 0.00, upward: 0.96)]. The photocurrent of film 6B was measured according to a previously reported method (Sakakibara, Ogawa & Nakatsubo, 2007).

3. Results and discussion

3.1. Preparation of acyl chitosan isothiocyanates

The *N*-phenylthiocarbamoylation of chitosan (**1**) was performed according to a

slightly modified version of the method reported by Baba et al (2002). It is noteworthy that the authors of this particular study only reported part of FT-IR data during their characterization of the structure of *N*-phenylthiocarbamoyl chitosan (**2**). In terms of the *N*-phenylthiocarbamoylation of chitosan (**1**) , chitosan was reacted with phenyl isothiocyanate in a mixture of 5% (v/v) AcOH in water and MeOH at 35 °C for 24 h to afford compound **2** in 87.1% yield. The FT-IR spectrum of this compound (Supporting information 1) contained characteristic bands derived from phenylthiocarbamoyl groups at 1541, 1497, 746, and 692 cm⁻¹ (Monier & Abdel-Latif, 2012; Shibano, Kamitakahara & Takano, 2013). ¹H and ¹³C NMR analyses of compound **2** revealed signals around 7.0 and 125–135 ppm, which were assigned to the aromatic protons and carbons of the phenylthiocarbamoyl group, respectively. The ¹³C NMR spectrum of compound **2** also contained a signal at 182.0 ppm, which was assigned to the C=S moiety of the phenylthiocarbamoyl group. The degree of substitution of the phenylthiocarbamoyl groups (DS_{PhNHCS}) in compound **2** was determined to be 0.86 by elemental analysis.

The hexanoylation of compound **2** was performed under typical acylation conditions (i.e., hexanoyl chloride and pyridine at 0 °C for 1 h, 30 °C for 1 h, and

199 80 °C for 18 h sequentially) to give product A in high yield. Analysis of this
200 compound by FT-IR revealed characteristic ester bands at 1747 and 1167 cm⁻¹,
201 whereas the band around 3298 cm⁻¹ corresponding to the hydroxyl groups and
202 NH moieties of the thioureido groups of compound **2** were absent. Signals
203 characteristic of the hexanoyl groups (Zong, Kimura, Takahashi & Yamane,
204 2000) were also found in the ¹H and ¹³C NMR spectra of product A (Fig.1).
205 Taken together, these results suggested that hexanoylation had proceeded
206 smoothly at both the O-3 and O-6 positions. In contrast, however, the
207 characteristic bands of the phenylthiocarbamoyl groups at 1541, 1497, 746,
208 and 692 cm⁻¹ were not present in the FT-IR spectrum of product A. Furthermore,
209 the aromatic signals of the phenyl moiety of the phenylthiocarbamoyl group
210 around 7.0 and 125–135 ppm had disappeared from the ¹H and ¹³C NMR
211 spectra. These results therefore demonstrated, rather unexpectedly, that the
212 phenylthiocarbamoyl groups were being removed from the chitosan during the
213 hexanoylation process. The FT-IR spectrum of product A also contained a new
214 band at 2047 cm⁻¹, which was consistent with the introduction of isothiocyanate
215 (i.e., -NCS) groups (Shibano, Kamitakahara & Takano, 2013). Furthermore, this
216 band disappeared when product A was reacted with an amine, which provided

further evidence that this band related to the presence of NCS groups in product A. NMR analysis of provided further evidence in support of the presence of NCS groups in product A, with a signal consistent with the C=S moiety of the NCS group being observed at 140.8 ppm in the ^{13}C NMR spectrum (Fig.1). Taken together, these data for product A indicated that this material was not 3,6-di-*O*-hexanoyl *N*-(hexanoyl)phenylthiocarbamoyl chitosan (**3a**) as expected, but 3,6-di-*O*-hexanoyl chitosan isothiocyanate (**4a**). The DS_{NCS} of compound **4a** was determined to be 0.74 by elemental analysis.

Fig.2 shows the FT-IR spectra of the products resulting from the hexanoylation of compound **2** at various time points during the 80 °C heating stage of the reaction. The results of this analysis revealed that the characteristic bands of the ester and amide groups at 1747 and 1167 cm^{-1} and 1678 cm^{-1} , respectively, (Mohamed & Abd El-Ghany, 2012) appeared rapidly after only 1 h, whereas the bands attributed to the hydroxyl and thiourea groups at 3298 cm^{-1} were reduced significantly. These changes in the FT-IR spectra indicated that the *O*-hexanoylation of the 3-OH and 6-OH positions had proceeded smoothly, as well as the *N*-hexanoylation of the phenylthiocarbamoyl groups. The ^1H NMR spectrum of the product after 1 h, however, showed that the

235 O-hexanoylation process had not proceeded to completion (data not shown).
236 The FT-IR spectrum of the product after 1 h of the 80 °C heating stage
237 contained a small band at 2047 cm⁻¹ for the NCS groups, which suggested that
238 the *N*-phenylthiocarbamoyl groups were beginning to degrade during the first
239 hour of this heating stage. As the reaction increased, there was an increase in
240 the intensity of the band at 2047 cm⁻¹, whereas the intensities of the bands at
241 1678, 1541, 1497, 746, and 692 cm⁻¹ decreased. After 18 h, the bands at 1678,
242 1541, 1497, 746, and 692 cm⁻¹ were disappeared completely, suggesting that
243 the *N*-(hexanoyl)phenylthiocarbamoyl groups had been fully degraded.

244 *N,N'*-Disubstituted thioureas are known to decompose to the
245 corresponding amines and isothiocyanates when they are heated (Mukerjee &
246 Ashare, 1991). For example, the pyrolysis of *N*-benzoyl-*N'*-phenylthiourea at
247 180 °C was reported to afford phenyl isothiocyanate in high yield (Rajappa,
248 Rajagopalan, Sreenivasan & Kanal, 1979). Based on these reports and the
249 FT-IR spectra shown in Fig.2, we have proposed a mechanism for this
250 transformation which is shown in Fig.3. Briefly, the phenylthiocarbamoyl groups
251 of compound **2** would be converted to the *N,N*-(hexanoyl)phenylthiocarbamoyl
252 groups during O-hexanoylation process. The

253 *N,N*-(hexanoyl)phenylthiocarbamoyl groups would then be degraded by the
254 abstraction of a proton by pyridine, which would resulted in the formation of the
255 NCS groups.

256 To evaluate the versatility of this method, we investigated the use of
257 several other acylating agents for the acylation of compound **2** (i.e.,
258 dodecanoylation, myristoylation, stearoylation, and benzoylation) under the
259 same conditions as those used for the hexanoylation reaction, which afforded
260 compounds **4b–e** in high yields. The FT-IR spectra of compounds **4a–d**
261 revealed that the characteristic bands of the phenylthiocarbamoyl groups at
262 1541, 1497, 746, and 694 cm⁻¹ had disappeared, and that the characteristic
263 bands of the NCS and ester groups had appeared around 2047 cm⁻¹, and
264 around 1748 and 1159 cm⁻¹, respectively (Supporting information 1). These
265 results indicated that the isothiocyanation reaction had proceeded in all cases
266 regardless of the acyl group used in the acylation reaction. The DS_{NCS} values of
267 compounds **4b–d** and **4e** were determined to be 0.70 and 0.56, respectively, by
268 elemental analysis. The solubility of compound **2**, as well as those of
269 compounds **4a–e** are summarized in Table 2. The acyl chitosan isothiocyanates
270 **4a–e** were found to be soluble in a range of common solvents, including THF,

CHCl₃, and CH₂Cl₂. Interestingly, however, compounds **4a–e** became insoluble in these solvents when they were stored as drying solids at ambient temperature for more than several days. Subsequent testing of the insoluble solid materials by FT-IR spectroscopy revealed that they were analytically identical to the initial solids (data not shown). Similar insolubilization behavior has also been observed for compound **2** and 6-isothiocyanato cellulose derivatives (Shibano, Kamitakahara & Takano, 2013).

The *N*-phenylthiocarbamoylation of chitosan with phenyl isothiocyanate, followed by acylation with acyl chloride under basic conditions (i.e., in the presence of pyridine) has therefore been demonstrated as effective process for the preparation of acyl chitosan isothiocyanates. Furthermore, this method allows for the use of harmful reagents such as thiophosgene to be avoided. In many ways, our newly developed method represents a trans-isothiocyanation reaction from a phenyl isothiocyanate to an acyl chitosan isothiocyanates in two reactions.

3.2. Reactivity of hexanoyl chitosan isothiocyanate **4a** with amines

Sugar isothiocyanates are known to react readily with amines to form thioureas

(Pérez, Mellet, Fuentes & Fernández, 2000). To confirm it, we proceeded to investigate the reactivity of the acyl chitosan isothiocyanates towards a variety of amines. When compound **4a** was reacted with aniline (aromatic amine) in THF at 35 °C for 24 h, compound **5a** was formed in high yield. The FT-IR spectrum of compound **5a** contained the characteristic bands of the phenylthiocarbamoyl groups at 1537, 1497, 750, and 696 cm⁻¹, whereas the characteristic NCS band at 2047 cm⁻¹ had disappeared. Furthermore, the ¹³C NMR spectrum of compound **5a** contained a new signal at 181.0 ppm for the C=S moiety of the newly formed phenylthiocarbamoyl group, which indicated that the reaction of compound **4a** with aniline had proceeded smoothly. Compound **4a** was also reacted with propyl amine (aliphatic primary amine) and piperidine (aliphatic secondary amine) under the same conditions to give the corresponding compounds **5b** and **5c** in high yields, respectively. These results demonstrated that the acyl chitosan isothiocyanates were highly reactive towards amino compounds, and could therefore be used as intermediates for the synthesis of *N*-thiocarbamoyl chitosan derivatives.

3.3. Formation of a functional chitosan derivative from decanoyl chitosan

307 *isothiocyanate 4b*

308 The acyl chitosan isothiocyanate **4b** was converted to the porphyrin-appended
309 chitosan derivative **6** to demonstrate the potential application of these
310 compounds for the formation of functional chitosan derivatives. Compound **4b**
311 was reacted with TPP-NH₂ in CH₂Cl₂ at 35 °C for 48 h to give compounds **6** in
312 high yield. The FT-IR spectrum of compound **6** contained the characteristic
313 bands of decanoyl chitosan at 2926, 2854, 1744, 1155, 1111, and 1055 cm⁻¹,
314 as well as those from the porphyrin at 3415, 1597, 1468, 1350, 1178, 966, 800,
315 732, and 702 cm⁻¹, and those from the thiourea groups at 1547 cm⁻¹ (Fig.4). It
316 is noteworthy that a small band corresponding to the NCS group was detected
317 at 2039 cm⁻¹ in FT-IR spectrum of compound **6**, which indicated that the
318 reaction with TPP-NH₂ had not proceeded to completion. The ¹H NMR
319 spectrum of compound **6** contained signals from the aromatic protons of the
320 porphyrin ring in the range 7.2–9.0 ppm, as well as the pyrrole-NH proton of the
321 porphyrin ring at –2.80 ppm (Luguya et al. 2004) (Supporting information 2).
322 The UV-vis spectrum of compound **6** in chloroform contained a Soret band in
323 the range of 350–450 nm (Supporting information 3). These results clearly
324 indicated that compound **6** was the expected porphyrin-appended chitosan

325 derivative. The DS_{TPNHCS} value of compound **6** was determined to be 0.46 by
 326 elemental analysis. This medium DS value was attributed to the steric
 327 hindrance of the porphyrin groups, because a similar effect was also observed
 328 in the corresponding porphyrin-appended cellulose derivative (Sakakibara,
 329 Ogawa & Nakatsubo, 2007).

330 LB monolayer films of compound **6** were prepared on quartz (film 6A) and
 331 on an ITO electrode (film 6B) using the vertical dipping method with surface a
 332 pressure of 5 mN/m, which was decided based on the surface pressure
 333 (π)-area (A) isotherm of compound **6** at the air-water interface at 20 °C
 334 (Supporting information 4). In both cases, the monolayer film on the water was
 335 not transferred during the first down stroke, but was transferred during the
 336 second up stroke with a transfer ratio of almost 1.0, which indicated that films
 337 6A and 6B were Z-type LB films. Film 6A was subjected to UV-vis analysis,
 338 whereas 6B was evaluated in terms of its photocurrent generation performance.
 339 The UV-vis spectrum of film 6A (solid state) had a similar profile to that of
 340 compound **6** in chloroform (solution state), which suggested that the monolayer
 341 had been successfully transferred. Fig. 5i shows the photoelectrochemical
 342 response of film 6B with illumination at 420 nm. The photocurrent was

generated quickly when film 6B was illuminated. Fig. 5ii shows the action spectrum of film 6B (circles) and the UV-vis spectrum of film 6A (solid line). The patterns of these two spectra were very similar, which suggested that the porphyrin moieties of compound **6** were effectively behaving as photoactive species for the generation of the photocurrent, based on the absorption spectrum. The photocurrent density (i.e., photocurrent per unit area of a working electrode) for film 6B at 420 nm was 236 $\mu\text{A}/\text{cm}^2$. This value was lower than that of an LB monolayer film constructed from a porphyrin-appended cellulose derivative, which had a $\text{DS}_{\text{porphyrine}}$ value of 0.64 (Sakakibara, Ogawa & Nakatsubo, 2007), and could therefore have been lower because of the lower $\text{DS}_{\text{TPPNH}_2}$ value of compound **6**. Taken together, these results suggest that compound **6** could be used as an effective alternative photon-to-electron conversion material in biomaterial-based solar cells.

4. Conclusion

A facile new method has been developed for the synthesis of for the preparation of acyl chitosan isothiocyanates based on the *N*-phenylthiocarbamoylation of chitosan followed by acylation of the resulting thiocarbamoylated material under

361 basic conditions. Surprisingly, the formation of the NCS groups of the acyl
362 chitosan isothiocyanates occurred as a consequence of the degradation of the
363 *N,N*-(acyl)phenylthiocarbamoyl groups under the basic conditions required of
364 the acylation reaction. A similar outcome was observed when the acylation
365 reaction was conducted with acyl anhydride species under basic conditions,
366 and the details of this alternative method will be published in our next paper.

367 The acyl chitosan isothiocyanates exhibited a high level of reactivity
368 towards amines to afford the corresponding *N*-thiocarbamoyl chitosan
369 derivatives, which suggested that various functional amines could be used to
370 for the functionalization of chitosan. A porphyrin-appended chitosan derivative
371 (**6**) was also prepared to evaluate the application of these acyl chitosan
372 isothiocyanates to the synthesis of functional materials. The LB monolayer film
373 of compound **6** gave a good photon-to-electron conversion performance, which
374 suggested that compound **6** could be used as a promising photon-to-electron
375 conversion material. Taken together, the results of this study demonstrate that
376 our new method can be used to provide rapid access to a range of acyl
377 chitosan isothiocyanates, which have the potential to become useful
378 intermediates for the construction of functional chitosan derivatives.

379 Acknowledgements

380 The authors thank to the funding program A-STEP FS stage Exploratory
381 Research (FY2012) by Japan Science and Technology Agency.

382

383 Supplementary data

384 Supplementary data associated with this article can be found in the online
385 version, at <http://dx.doi.org/10.106/j.carbpol>.

386

387 References

- 388 Baba, Y., Noma H., Nakayama, R., & Matsushita, Y. (2002). Preparation of
389 chitosan derivatives containing methylthiocarbamoyl and phenylthiocarbamoyl
390 groups and their selective adsorption of copper (II) and over iron (II). *Analytical*
391 *Sciences*, 18, 359-361.
- 392 Fekry, A.M., Mohamed, R.R. (2010) Acetyl thiourea chitosan as an eco-friendly
393 inhibitor for mild steel in sulphuric acid medium. *Electrochimica Acta*, 55,
394 1933-1939.
- 395 Fernández, J.M.G., & Mellet, C.O. (1999) Chemistry and developments of
396 *N*-thiocarbamoyl carbohydrate derivatives: sugar isothiocyanates, thioamides,
397 thioureas, thiocarbamates and their conjugates. In D. Horton (Eds.) *Advances in*
398 *carbohydrate chemistry and biochemistry vol.55* (pp.36-1359). Sandiego,
399 Academic press.
- 400 Fernández-Bolaños, J.G., Zafra, E., López, O., Robina, I., & Fuentes, J. (1999).
401 Stereoselective synthesis of imidazolidine, imidazoline and imidazole C- and
402 *N*-pseudonucleosides. *Tetrahedron: Asymmetry*, 10, 3011-3023.
- 403 Gaware, V.S., Håkerud, M., Leósson, K., Jónsdóttir, S., Høgset, A., Berg, K., &
404 Másson, M. (2013). Tetraphenylporphyrin tethered chitosan based carried for
405 photochemical transfection. *Journal of Medicinal Chemistry*, 56, 807-819.
- 406 Harish Prashanth, K.V., & Tharanathan, R.N. (2007). Chitin/ chitosan:

- 407 modifications and their unlimited application potential-an overview. *Trends in*
408 *Food Science & Technology*, 18, 117-131.
- 409 Huang, G., Guo, C.-C., & Tang, S.-S. (2007). Catalysis of cyclohexane
410 oxidation with air using various chitosan-supported metallotetraphenylporphyrin
411 complexes. *Journal of Molecular Catalysis A: Chemical*, 261, 125-130.
- 412 Kurita, K. (2006) Chitin and chitosan: functional biopolymers from marine
413 crustaceans. *Marine Biotechnology*, 8, 203-226.
- 414 Jochims, J.C., & Seegler, A. (1965). Isocyanato- and isothiocyanato-derivate
415 des d-glucosamins. *Tetrahedron*, 21, 2611-2616.
- 416 Luguya, R., Jaquinod, L., Fronczek, F.R., Vicente, M.G.H., & Smith, K.M. (2004).
417 Synthesis and reactions of meso-(p-nitrophenyl)porphyrins. *Tetrahedron*, 60,
418 2757-2763.
- 419 Ma, O., Lavertu, M., Sun, J., Nguyen, S., Buschmann, M.D., Winnik, F.M.,
420 Hoemann, C.D. (2008). Precise derivatization of structurally distinct chitosans
421 with rhodamine B isothiocyanate. *Carbohydrate Polymers*, 72, 616-624.
- 422 Mohamed, N.A., & Abd El-Ghany, N.A. (2012). Preparation and antimicrobial
423 activity of some carboxymethyl chitosan acyl thiourea derivatives. *International*
424 *journal of biological macromolecules*, 50, 1280-1285.
- 425 Monier, M., & Abdel-Latif, D.A. (2012). Preparation of cross-linked magnetic
426 chitosan-phenylthiourea resin for adsorption of Hg(II), Cd(II) and Zn(II) ions
427 from aqueous solutions. *Journal of Hazardous Materials*, 209-210, 240-249.
- 428 Mouya, V.K., & Inamdar, N.N. (2008) Chiosan-modifications and applications:
429 opportunities galore. *Reactive & Functional Polymers*, 68, 1031-1051.
- 430 Mukerjee, A.K., & Ashare, R. (1991). Isothiocyanates in the chemistry of
431 heterocycles. *Chemical Reviews*, 91, 1-24.
- 432 Munch, H., Hansen, J.S., Pittelkow, M., Christensen, J.B., & Boas, U. (2008). A
433 new efficient synthesis of isothiocyanates from amines using di-*tert*-butyl
434 dicarbonate. *Tetrahedron Letters*, 49, 3117-3119.
- 435 Muzzarelli, R.A.A. & Muzarelli, C. (2005) Chitosan chemistry: relevance to the
436 biomedical sciences. In T.Heinze (Eds.) *Advances in Polymer Science* 186
437 (pp151-209). Berlin, Springer Verlag.
- 438 Muzzarelli, C., Toshi, G., Francescangeli, O., Muzzarelli, R.A.A. (2003). Alkaline
439 chitosan solutions. *Carbohydrate Research*, 338, 2247-2255.
- 440 Pérez, V.M.D., Mellet, C.O., Fuentes, J., & Fernández, J.M.G. (2000).
441 Synthesis of glycosyl(thio)ureido sugars via carbodiimides and their
442 conformational behavior in water. *Carbohydrate Research*, 326, 161-175.

- 443 Qaqish, R.B., Amiji, M.M. (1999). Synthesis of a fluorescent chitosan derivative
444 and its application for the study of chitosan-mucin interactions. *Carbohydrate*
445 *Polymers*, 38, 99-107.
- 446 Rajappa, S., Rajagopalan, T.G., Sreenivasan, R., & Kanal, S. (1979).
447 Isothiocyanate transposition through a retro-ene reaction: pyrolysis of
448 acylthioureas. *Journal of the Chemical Society. Perkin Transactions 1*,
449 2001-2004.
- 450 Ravi Kumar, M.N.V., Muzzarelli, R.A.A., Muzzarelli, C., Sashiwa, H., Domb, A.J.
451 (2004). Chitosan chemistry and pharmaceutical perspectives. *Chemical*
452 *Reviews*, 104, 6017-6084.
- 453 Rinaudo, M. (2006). Chitin and chitosan: properties and applications. *Progress*
454 *in Polymer Science*, 31, 603-632.
- 455 Sakakibara, K., Ogawa, Y., & Nakatsubo, F. (2007). First cellulose
456 Langmuir-Blodgett films towards photocurrent generation systems.
457 *Macromolecular Rapid Communications*, 28, 1270-1275.
- 458 Sahoo, D., Sahoo, S., Mohanty, P., Sasmal, S., & Nayak, P.L. (2009). Chitosan:
459 a new versatile bio-polymer for various applications. *Designed monomers and*
460 *polymers*, 12, 377-404.
- 461 Shibano, M., Kamitakahara, H., & Takano, T. (2013). Tandem Staudinger /
462 aza-Wittig reaction of 6-azido-6-deoxycellulose. *Carbohydrate Research*, 382,
463 25-29.
- 464 Sun, C., Hu, B., Zhao, D., & Liu, Z. (2012). Covalently immobilized
465 Mn(III)deuteroporphyrin on chitosan: an efficient and recyclable catalyst for
466 aerobic oxidation of cyclohexane. *Journal of Applied Polymer Science*, 125,
467 E79-E87.
- 468 Sun, N., Li, B., Shao, J., Mo, W., Hu, B., Shen, Z., & Hu, X. (2012). A general
469 and facile one-pot process of isothiocyanates from amines under aqueous
470 conditions, *Beilstein Journal of Organic Chemistry*, 8, 61-70.
- 471 Takano, T., & Shibano, M. (2013). Chitosan isothiocyanate derivative and
472 method for producing same, WO201318767A1.
- 473 Zhong, Z., Xing, R., Liu, S., Wang, L., Cai, S., Li, P. (2008). Synthesis of acyl
474 thiourea derivatives of chitosan and their antimicrobial activities in vitro.
475 *Carbohydrate Research*, 343, 566-570.
- 476 Zong, Z., Kimura, Y., Takahashi, M., & Yamane, H. (2000). Characterization of
477 chemical and solid state structures of acylated chitosans. *Polymer*, 41,
478 899-906.

479

Legends of Figures & Tables

(Figures & Table)

Scheme 1 Preparation of *N*-substituted thiocarbamoyl chitosan derivatives (**5a–c** and **6**) via the corresponding acyl chitosan isothiocyanates (**4a–e**).

Figure 1. ^1H and ^{13}C NMR spectra of product A (Compound **4a**).

Figure 2. FT-IR spectra of the products during the 80 °C heating stage for the hexanoylation of compound **2** (normalized at 1379 cm^{-1}).

Figure 3. Proposed reaction mechanism for the formation of isothiocyanate groups.

Figure 4. FT-IR spectra of compounds **4b** (A); **6** (B); and TPP-NH₂ (C).

Figure 5. (i) Photoelectrochemical response of the LB monolayer film **6B** with illumination at 420 nm; (ii) Action spectrum of film **6B** (circles); UV-vis spectrum of film **6A** (solid line).

Table 1. Data of compounds **4a–e** and **5a–c**

Table 2. Solubility of chitosan derivatives **2** and **4a–e**

(Supporting information)

Supporting information 1

FT-IR spectra of compounds **1**, **2**, **4a–e** and **5a–c**.

Supporting information 2

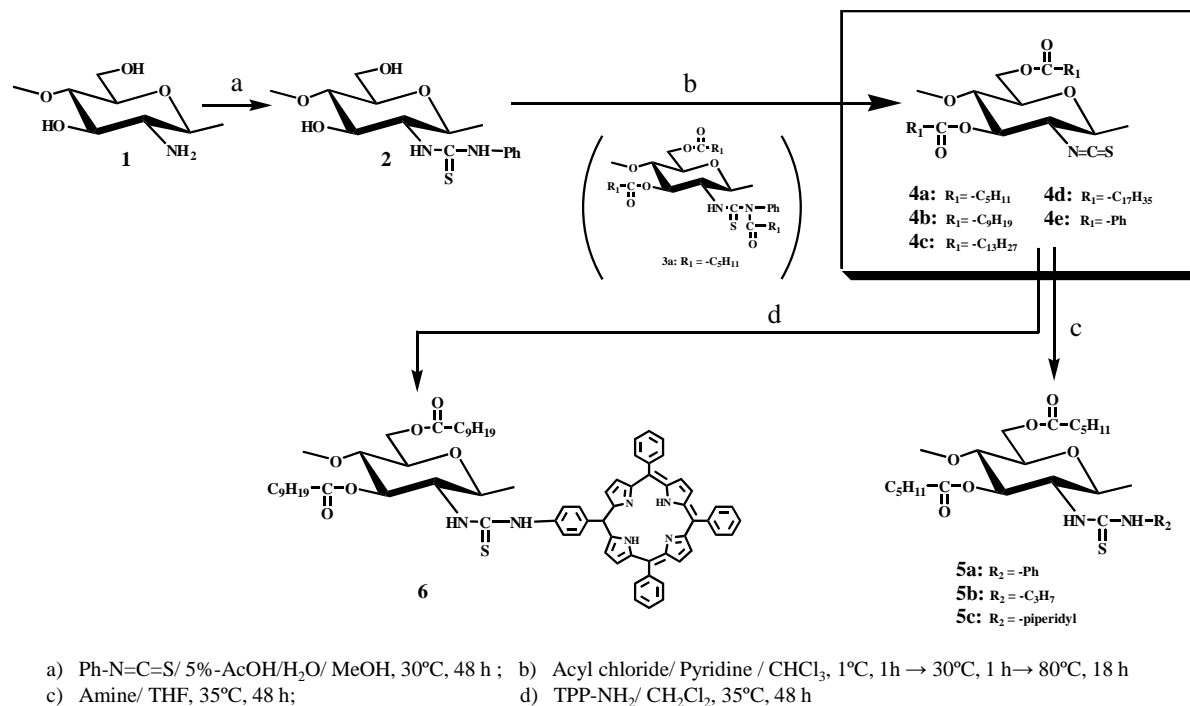
^1H NMR spectrum of compound **6**.

Supporting information 3

UV-vis spectra of compound **6** in CHCl₃ (solid line) and the LB monolayer film **6A** (dashed line) (normalized at 424 nm).

Supporting information 4

Surface pressure (π)-area (A) isotherm of compound **6**



Scheme 1 Preparation of *N*-substituted thiocarbamoyl chitosan derivatives (**5a-c** and **6**) via acyl chitosan isothiocyanates (**4a-e**)

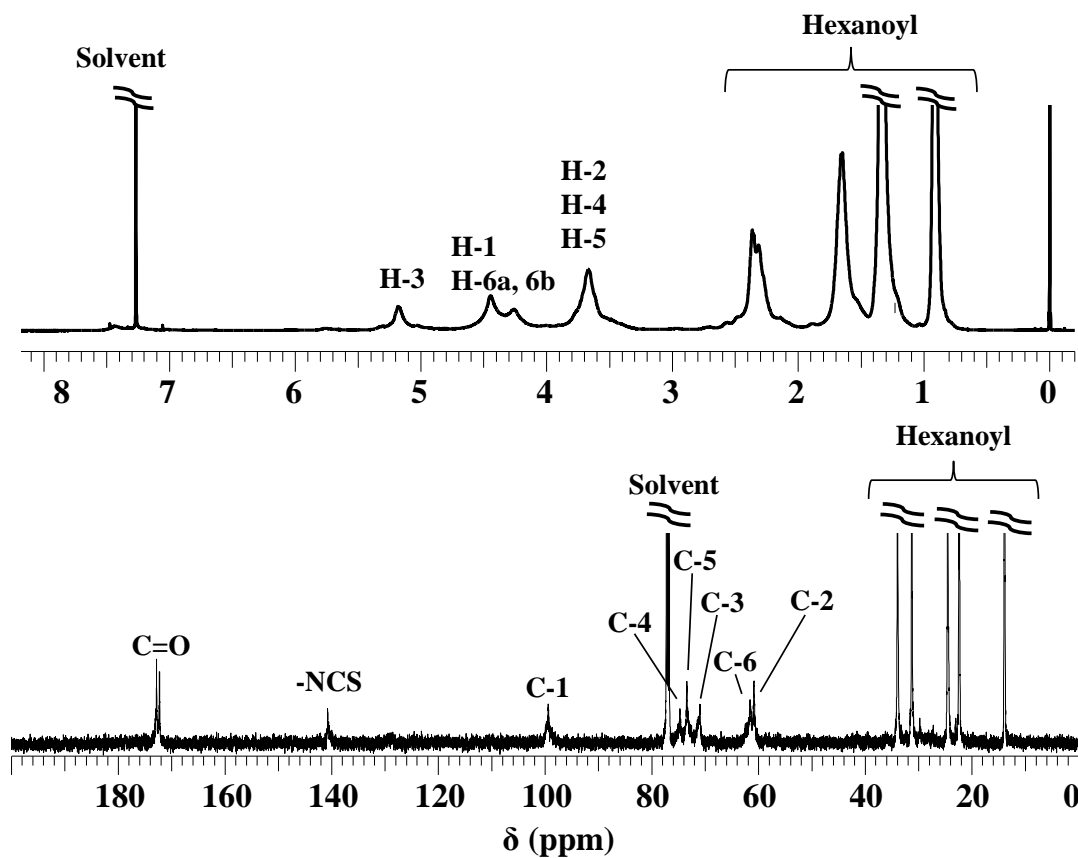


Figure 1. ^1H - and ^{13}C -NMR spectra of product A (Compound 4a)

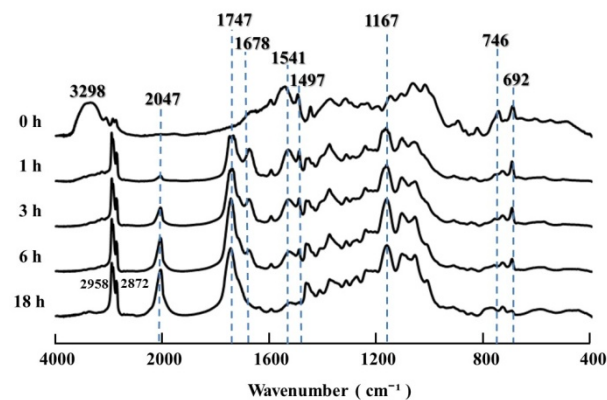


Figure 2. FT-IR spectra of the products at the 80°C stage in hexanoylation of compound **2** (normalized at 1379 cm⁻¹)

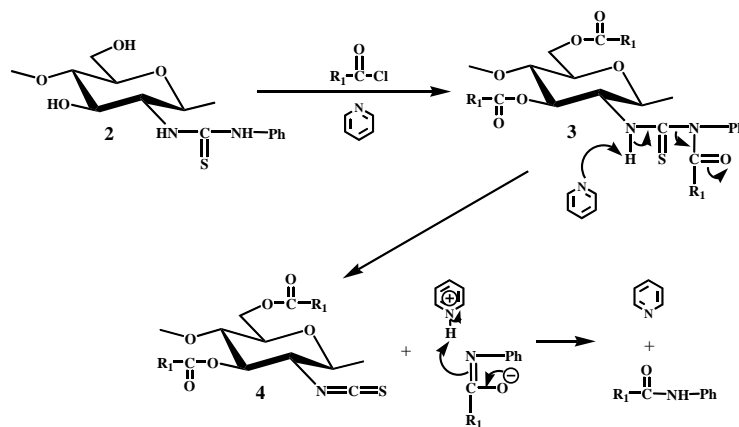


Figure 3. Proposed reaction mechanism for the formation of isothiocyanate groups

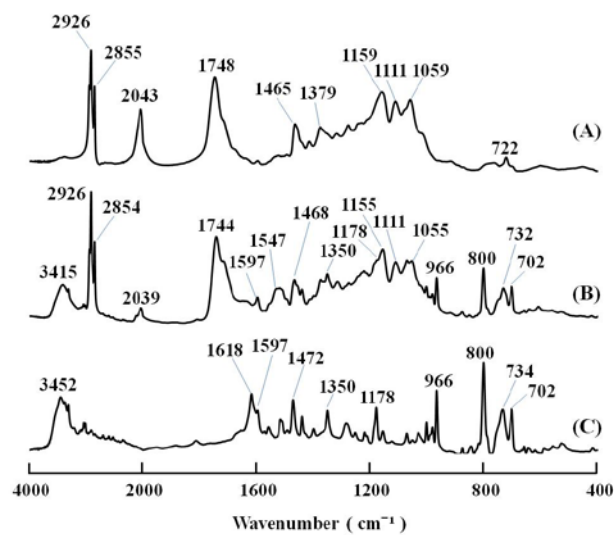


Figure 4. FT-IR spectra of compounds **4b** (A); **6** (B); TPP-NH₂ (C)

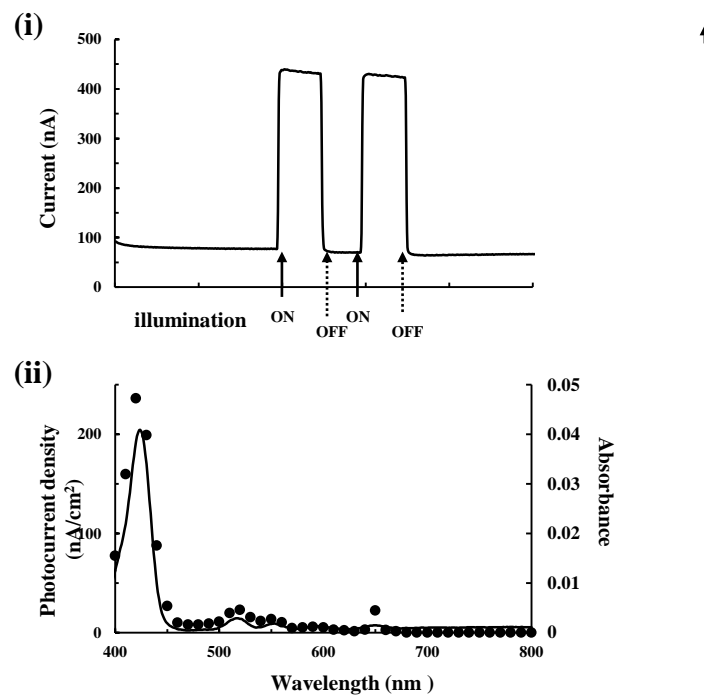


Figure 5. (i) Photoelectrochemical response of the LB monolayer film 6B with illumination at 420 nm; (ii) Action spectrum of film 6B (circles); UV-vis spectrum of film 6A (solid line) .

Table 1 Data of compounds **4a-e** and **5a-c**

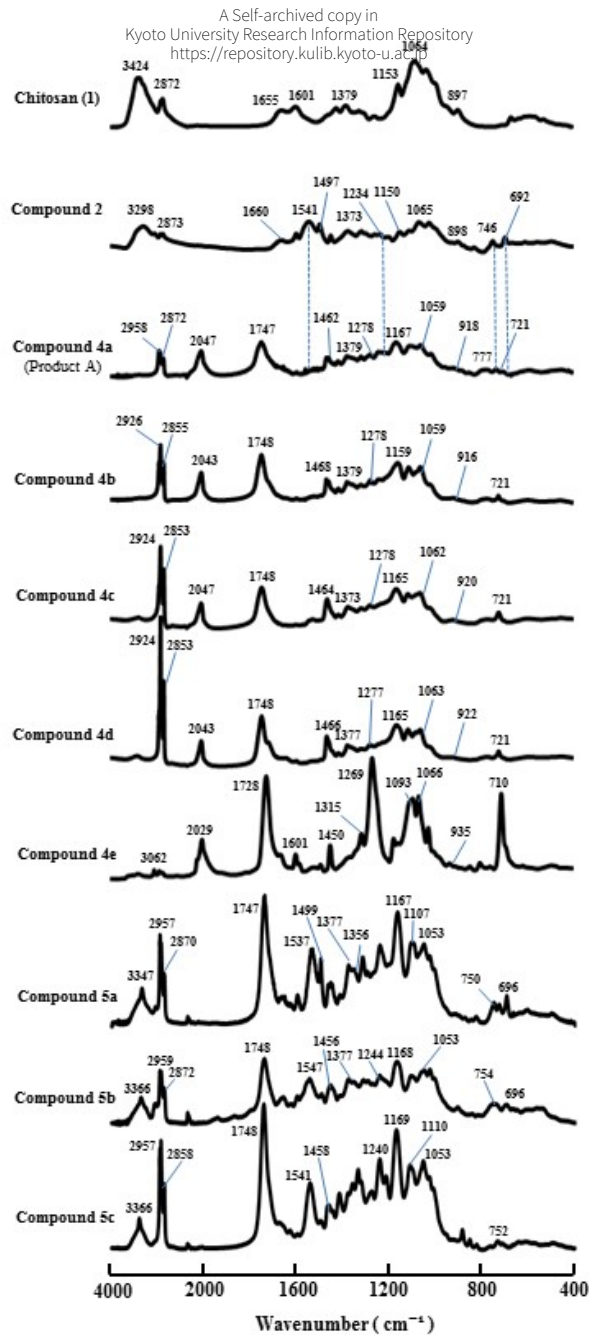
Compound (Acyl group)	4a (hexanoyl)	4b (decanoyl)	4c (myristoyl)	4d (stearoyl)	4e (benzoyl)	5a (hexanoyl)	5b (hexanoyl)	5c (hexanoyl)
DS*	0.74	0.70	0.70	0.70	0.56	0.68	0.68	0.64
	NCS	NCS	NCS	NCS	NCS	PhNHCS-	PrNHCS-	PiperidylNHCS-
¹ H NMR (in CDCl ₃) (ppm)								
H-3	5.18	5.17	5.17	5.17	5.22	5.03	5.10	5.01
H-1, H-6a	4.45	4.44	4.45	4.45	4.28	4.80-4.00	4.50-4.00	4.65-4.00
H-6b	4.26	4.26	4.27	4.26	4.09			
H-2, H-4, H-5	3.67	3.67	3.67	3.67	3.67	4.00-3.40	4.00-3.40	4.00-3.40
acyl -OCOCH ₂ -	2.37	2.35	2.38	2.35	-	2.30	2.34	2.34
acyl -OCOCH ₂ -CH ₂ -	1.65	1.64	1.64	1.60	-	1.58	1.62	1.61
acyl -CH ₂ -	1.34	1.27	1.26	1.26	-	1.29	1.32	1.32
acyl -CH ₃	0.91	0.88	0.88	0.88	-	0.88	0.89	0.88
Others	-	-	-	-	8.17-7.67, 7.64-6.90 (benzoyl aromatic-H)	7.60-7.06 (phenyl aromatic-H)	2.34, 1.32, 0.89 (propyl-H)	2.60-2.20, 1.51 (piperidyl-H)
¹³ C NMR (in CDCl ₃) (ppm)								
C=S	-	-	-	-	-	181.0	183.1	180.9
C=O	172.8, 172.3	172.8, 172.3	172.8, 172.2	172.8, 172.2	164.9, 164.8	173.5, 173.4	173.5, 173.4	173.7, 173.6
NCS	140.8	140.8	140.8	140.8	140.9	-	-	-
C-1	99.5	99.5	99.6	99.6	99.5	101.0	101.3	102.3
C-2	60.9	60.8	60.8	60.9	60.9	58.3	58.9	58.9
C-3	71.0	71.3	71.7	71.5	71.1	71.2	71.5	73.2
C-4	74.7	74.7	74.6	74.6	74.7	74.7	75.3	77.5
C-5	73.4	73.4	73.4	73.4	73.4	73.2	72.5	73.4
C-6	61.6	61.6	61.6	61.6	62.1	62.6	63.0	62.8
acyl -C	33.9, 31.3, 24.5	34.0, 31.8, 29.5	34.0, 31.8, 29.4	34.1, 31.9, 29.4	-	33.9, 31.3, 24.5	34.0, 31.3, 24.5	34.0, 31.3, 24.5
	22.4, 13.9	24.9, 22.7, 14.1	24.9, 22.7, 14.1	24.9, 22.7, 14.1	-	22.3, 13.9	22.3, 14.0	22.4, 13.9
Others	-	-	-	-	133.4, 129.4, 128.6 (benzoyl aromatic-C)	132.6, 129.9, 124.8 (phenyl aromatic-C)	46.8, 24.9, 11.4 (propyl-C)	49.2, 25.6, 22.5 (piperidyl-C)
FT-IR (cm ⁻¹)								
	2958, 2872, 2047	2926, 2855, 2043	2924, 2853, 2047	2924, 2853, 2043	3062, 2029, 1728	3347, 2957, 2870	3366, 2959, 2872	3399, 2934, 2856
	1747, 1462, 1379	1748, 1468, 1379	1748, 1464, 1373	1748, 1466, 1377	1601, 1450, 1315	1747, 1537, 1499	1748, 1547, 1456	1748, 1541, 1495
	1278, 1167, 1059	1279, 1159, 1059	1278, 1165, 1062	1278, 1163, 1061	1269, 1093, 1066	1377, 1356, 1242	1377, 1356, 1244	1377, 1358, 1240
	918, 777, 721	916, 721	920, 721	922, 721	935, 710	1167, 1107, 1053	1168, 1110, 1053	1169, 1110, 1053
						750, 696	754, 696	752

* The DS (degree of substitution) were determined by elementary analyses.

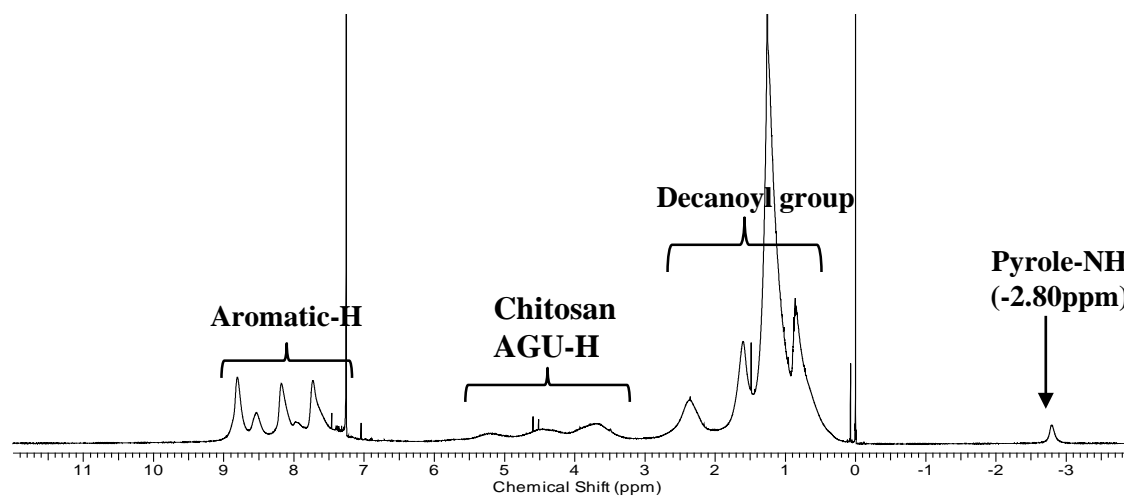
Table 2: Solubility of chitosan derivatives **2** and **4a-4e**

Solvents	δ	Compound					
		2	4a	4b	4c	4d	4e
THF	9.1	×	○	○	○	○	○
Chloroform	9.3	×	○	○	○	○	○
Acetone	9.4	×	○	△	△	×	○
Dichloromethane	9.6	×	○	○	○	○	○
Dioxane	9.8	×	○	○	△	△	○
DMF	11.5	○	○	△	△	×	○
DMSO	12.8	○	△	△	×	×	○
Methanol	12.9	×	×	×	×	×	×
Water	21.0	×	×	×	×	×	×

δ : Solubility parameter; ○: Soluble, △: Partially soluble, ×: Insoluble

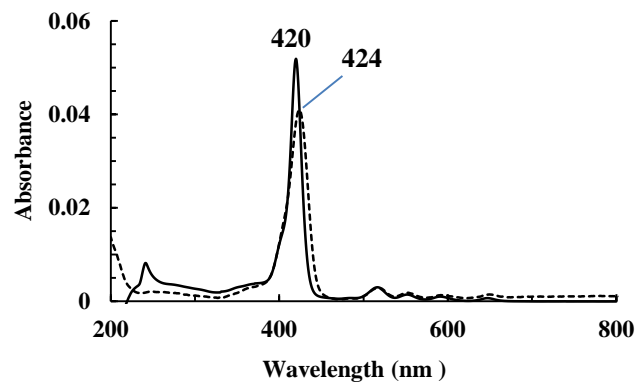


Supporting information 1 FT-IR spectra of compounds 1, 2, 4a-e and 5a-c



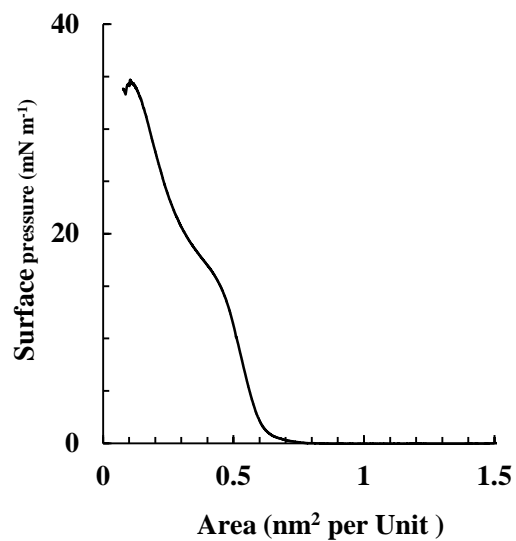
Supporting information 2

^1H -NMR spectrum of compound 6.



Supporting information 3

UV-vis spectra of compound **6** in CHCl₃ (solid line) and the LB monolayer film 6A (dashed line) (normalized at 424 nm).



Supporting information 4

Surface pressure (π)-area (A) isotherm of compound 6